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Structural Changes in Boreal Forests Can Be Quantified Using Terrestrial Laser Scanning

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Abstract: Terrestrial laser scanning (TLS) has been adopted as a feasible technique to digitize trees and forest stands, providing accurate information on tree and forest structural attributes. However, there is limited understanding on how a variety of forest structural changes can be quantified using TLS in boreal forest conditions. In this study, we assessed the accuracy and feasibility of TLS in quantifying changes in the structure of boreal forests. We collected TLS data and field reference from 37 sample plots in 2014 (T1) and 2019 (T2). Tree stems typically have planar, vertical, and cylindrical characteristics in a point cloud, and thus we applied surface normal filtering, point cloud clustering, and RANSAC-cylinder filtering to identify these geometries and to characterize trees and forest stands at both time points. The results strengthened the existing knowledge that TLS has the capacity to characterize trees and forest stands in space and showed that TLS could characterize structural changes in time in boreal forest conditions. Root-mean-square-errors (RMSEs) in the estimates for changes in the tree attributes were 0.99–1.22 cm for diameter at breast height (Δdbh), 44.14–55.49 cm² for basal area (Δg), and 1.91–4.85 m for tree height (Δh). In general, tree attributes were estimated more accurately for Scots pine trees, followed by Norway spruce and broadleaved trees. At the forest stand level, an RMSE of 0.60–1.13 cm was recorded for changes in basal area-weighted mean diameter (ΔD_g), 0.81–2.26 m for changes in basal area-weighted mean height (ΔH_g), 1.40–2.34 m²/ha for changes in mean basal area (ΔG), and 74–193 n/ha for changes in the number of trees per hectare (ΔTPH). The plot-level accuracy was higher in Scots pine-dominated sample plots than in Norway spruce-dominated and mixed-species sample plots. TLS-derived tree and forest structural attributes at time points T1 and T2 differed significantly from each other ($p < 0.05$). If there was an increase or decrease in dbh, g, h, height of the crown base, crown ratio, D_g , H_g , or G recorded in the field, a similar outcome was achieved by using TLS. Our results provided new information on the feasibility of TLS for the purposes of forest ecosystem growth monitoring.

Keywords: spatiotemporal; time series; bi-temporal; ground-based LiDAR; tree growth

1. Introduction

Forests change over time and across space due to natural phenomena and anthropogenic processes. Biotic changes, such as forest growth and damage, affect forest structure and tree stems and branches grow annually in width and height. Conifer trees are typically evergreen but leaves of deciduous

trees emerge in the spring and fall in the autumn. Forest growth process is also linked to the decomposition process which affects the amount of dead wood [1]. Insect damage, the spread of pathogens, wind damage, and forest fires shape forests at different spatial and temporal scales. Insect damage, that is often combined with the spread of pathogenic fungi, causes defoliation and changes in the bark whereas winds fall trees or cut tree parts [2] and fire burns low vegetation, needle mass, and possibly also reshapes the structure of trees [3,4]. There are also abiotic changes that affect the forest structure. These include land-use changes as well as silvicultural and harvesting operations. In managed forests, trees are often planted, seedlings are tended, and several thinning operations are carried out during the rotation period [5]. Silvicultural practices vary a great deal, but in general, a proportion of trees is typically removed in silvicultural and harvesting operations [5,6]. Spatiotemporal information is needed to improve the understanding of, or quantify, the consequences of these natural phenomena, processes, and human activities with varying temporal and spatial patterns and dimensions.

Close-range sensing technologies, such as terrestrial laser scanning (TLS) provide state-of-the-art tools in characterizing forests. TLS is a powerful close-range sensing method for characterizing forests in three dimensions (3D) [1,7–10]. Individual trees can be detected from a TLS point cloud by detecting circular shapes (e.g., [11,12]) or clusters of points (e.g., [13,14]), these two representing the most common tree detection methods implemented in forest applications [8]. Then, depending on the algorithm used and the purpose of the processing, architectural structure of a stem [15,16] or a whole tree [17,18] can be reconstructed by using a series of geometrical primitives, preferably circular cylinders [19]. Tree reconstruction requires that points representing a tree are classified based on their origin, in other words, from stem, branches, and foliage. Point cloud classification algorithms are most often based on an assumption that stem points have more planar, vertical, and cylindrical characteristics than points originating from branches and foliage [10,15,17,20]. With careful TLS data collection and pre-processing, a single point in TLS data can reach a millimeter-level accuracy within the data set [21,22] meaning that the reconstructed tree models are geometrically highly accurate [18]. So far, there is a limited number of approaches for classifying points originating from foliage based on geometric features [23–26]. Use of radiometric features based on laser return intensity have been seen as beneficial in separating foliage and woody material [27–29]. After tree architecture is reconstructed for every tree in an area of interest, theoretically all external tree dimensions can be derived from geometrically accurate 3D models for all trees and used further in deriving attributes of interest.

Although it has been demonstrated that TLS technology can be used for detecting structural changes in single trees as well as changes in the canopy structure [30–35], there is still a limited understanding on how different kinds of forest structural changes can be quantified using TLS in boreal forest conditions. Srinivasan et al. [30] used TLS data from two time points (2009 and 2012) for modeling tree biomass changes in East Texas. They reported that canopy volume and height change metrics provided the best results for modeling the change in the above-ground biomass (AGB) of 29 loblolly pines (*Pinus taeda* L.). Kaasalainen et al. [31] collected a time-series of TLS data from one Norway maple (*Acer platanoides* L.) growing in a city with five observation points during a 4-year time period. They modeled the tree with Quantitative Structure Modelling (QSM, [17,31]) to detect changes in tree branch volume and branch length, caused by growth and mortality. QSMs were used in [32] to investigate annual growth of 21 wild cherry (*Prunus avium* L.) trees in terms of diameter at breast height (dbh) and height, stem, and branch volume, as well as the fraction of merchantable timber. Sheppard et al. [32] collected TLS data over a 3-year time period so that three QSMs per tree were constructed. Similar to the study by Kaasalainen et al. [31] earlier, the growth of individual branches was detected and quantified as well as volume reductions due to pruning, which was identified in [32]. For the forest change analyses, it is not necessary to reconstruct the whole tree structure. For example, bi-temporal TLS data were used in [33] to develop a voxel-based method for analyzing changes in canopy occupancy through time. The approach can be used at the local neighborhood level for revealing the extent of canopy space-filling, identifying interactions between trees, and analyzing complementary

space use. Kunz et al. [34] used TLS data to capture the three-dimensional structure of trees and investigate their temporal dynamics. Bi-temporal TLS data was collected between 2012 and 2016 and QSMs were then used to reconstruct tree stems and branches followed by the generation of 2D and 3D alpha-shapes for deriving additional crown shape and size parameters. With a TLS-based method, they were able to investigate crown complementarity, crown plasticity, and wood volume allocation. Respectively, bi-temporal TLS data (2008 and 2017) was used in [35] to investigate changes in stem forms. Of the 35 investigated trees, which were mainly conifers, changes in stem taper, cylindrical form factor, form quotient, and stem slenderness were analyzed. The changes in the stem taper varied from -34% to 9% , the cylindrical form factor from 1% to 18% , the form quotient from 4% to 35% , and the stem slenderness from -2% to 6% .

The objective of this study was to strengthen the understanding of how TLS can be used to capture boreal forest structure in space and time. Concentrating on the change of only a few attributes with a relatively low number of samples has been the common denominator for all the previous studies related to the use of TLS in quantifying changes in tree attributes. A more comprehensive investigation on the performance of capturing changes in tree and forest structural attributes with a large number of trees and sample plots is needed to assess the feasibility of TLS in monitoring forest structural changes. To fill in the knowledge gap, we used bi-temporal TLS data and field inventory data to cover changes in the structure of 1280 trees and 37 sample plots from varying boreal forest conditions. We hypothesized that TLS has the capacity to accurately estimate tree and forest structural attributes at single time points, and the estimated attributes at the beginning of a monitoring period significantly differ from the respective attributes at the end of the monitoring period. We assessed the performance of change quantification by using the most common tree and forest structural attributes. At tree level, we analyzed changes in dbh (Δdbh), basal area (Δg), tree height (Δh), diameter-height ratio ($\Delta d-h\text{-ratio}$), height of the crown base (Δhc), and crown ratio (Δcr). At stand (sample plot) level, we analyzed changes in basal area-weighted mean diameter (ΔD_g) and -height (i.e., Lorey's height, ΔH_g), mean basal area (ΔG), and number of trees per hectare (ΔTPH). Thus, we used a range of attributes describing changes in horizontal and vertical forest structure.

2. Materials and Methods

2.1. Study Materials

The study materials consisted of a multi-scan TLS data and a field inventory data acquired in 2014 (T1) and 2019 (T2) covering 1280 trees in 37 sample plots. The study site is located in Evo, southern Finland ($61^{\circ}19.6' \text{ N } 25^{\circ}10.8' \text{ E}$) where 91 sample plots ($32 \text{ m} \times 32 \text{ m}$) were initially established in 2014 to cover the structural variation of forests (see e.g., [36]). A TLS data-acquisition campaign was carried out in spring 2014 using a Leica HDS6100 (Leica Geosystems, St. Gallen, Switzerland) and a Faro Focus 3D X330 (Faro Technologies Inc., Lake Mary, FL, USA) phase shift scanners, both operating at 1550 nm wavelength and measuring 508,000 points per second, delivering a hemispherical (310° vertical \times 360° horizontal) point cloud with an angular resolution of 0.018° in both vertical and horizontal direction. A multi-scan approach was used to obtain a comprehensive point cloud for each sample plot by merging point clouds from five separate scanning locations. The scan setup consisted of one center scan located at the plot center and four auxiliary scans at quadrant directions (i.e., northeast, southeast, southwest, and northwest) about 11 m away from the plot center. Artificial reference targets were used to register the point clouds together. Trees from each sample plot were located by manually detecting stem-cross sections from horizontal TLS point cloud slices to construct a tree map. The tree maps were verified in the field and completed with the locations of small undergrowth trees that were not visible in the point cloud. A tree-wise field inventory was carried out in the summer of 2014 to acquire reference measurements of the tree attributes for T1. Tree species, dbh, h, and health status (alive/dead) were recorded for all the trees with dbh exceeding 5 cm while hc was measured for Scots pine trees only. Tree species and health status were obtained using visual interpretation. Dbh was measured as

a mean of two diameter measurements perpendicular to each other at the height of 1.3 m above the ground using steel calipers. An electronic clinometer was used to measure h and h_c . Based on our evaluations, the precision in dbh and tree height measurements in the given forest conditions was approximately 0.3 cm and 0.5 m, respectively [37]. Tree attributes were then aggregated at the plot level to obtain forest structural attributes: D_g (cm) and H_g (m), G (m^2/ha), and TPH (n/ha). More detailed description on the TLS data acquisition and field inventory for T1 can be found in [22].

Field inventory and TLS data-acquisition campaigns were repeated for 37 sample plots in autumn 2019 to capture a five-year growth period in between the measurements. The stem maps were updated in the field with missing trees (i.e., trees fallen or harvested during the time period) and in growth of trees (i.e., trees with dbh exceeding the 5 cm threshold during the time period) while dbh and tree height were re-measured for all trees, and height of the crown base for Scots pine trees (*Pinus sylvestris* L.). TLS data at T2 was collected using a Leica RTC360 3D (Leica Geosystems, St. Gallen, Switzerland) time-of-flight scanner that operates at 1550 nm wavelength and measures 2,000,000 points per second, delivering a hemispherical (300° vertical \times 360° horizontal) point cloud with an angular resolution of 0.009° in both vertical and horizontal direction. Similar to T1 TLS data acquisition, a multi-scan approach (i.e., one center scan with four auxiliary scans) was used in the T2 TLS campaign to ensure consistent point cloud quality. The scan setup for T2 was slightly modified from the one used in the T1 campaign based on experience gained in [10] that suggests placing the auxiliary scans approximately at the plot borders to improve point cloud completeness (see Figure 1). Artificial reference targets and a Leica Cyclone 3D Point Cloud Processing Software were used to register the separate point clouds together for each sample plot. Topography was removed from the point clouds by applying a point cloud normalization workflow presented by Ritter et al. [38]

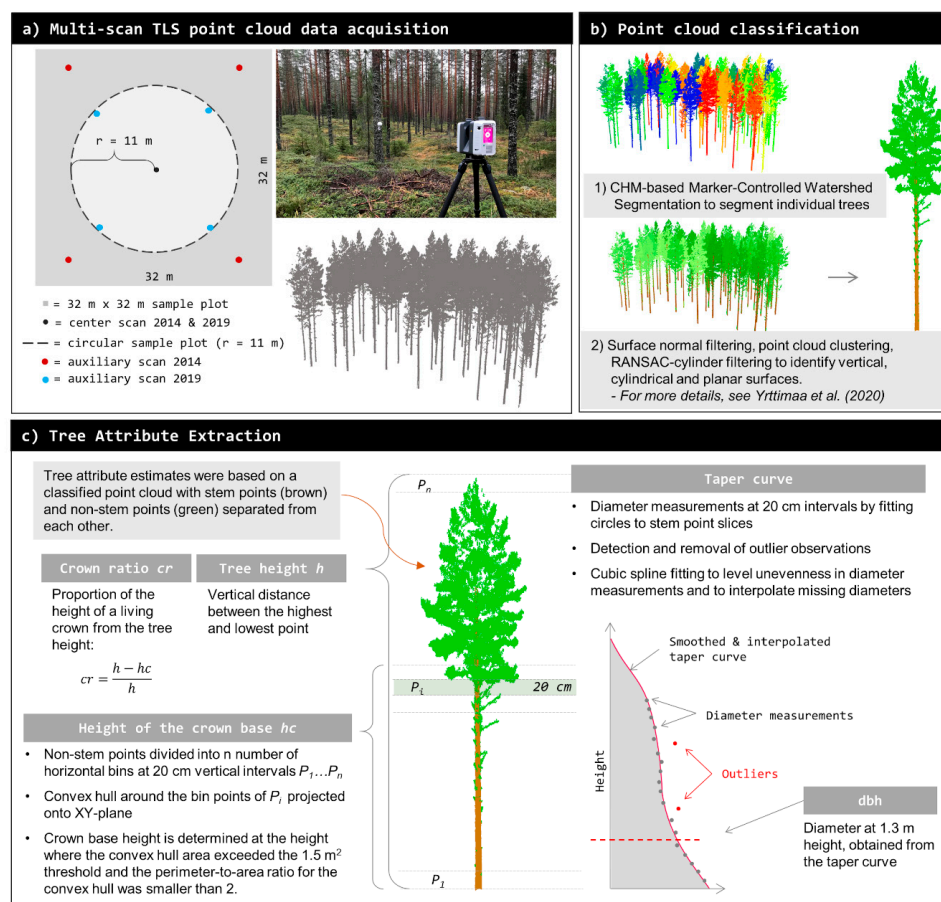


Figure 1. Outline of the terrestrial laser scanning (TLS) data processing workflow. (a) One center scan and four auxiliary scans were used to acquire a multi-scan TLS point cloud data in 2014 and

2019. (b) TLS-based canopy height model (CHM) and a Marker-Controlled Watershed Segmentation procedure was applied to normalized TLS point clouds to detect individual trees. Surface normal filtering, point cloud clustering, and Random Sample Consensus (RANSAC)-based cylinder filtering were applied to identify vertical, cylindrical, and planar surfaces to classify TLS point cloud into stem and non-stem points (for more details, see [39]). (c) Then, the classified point cloud was used to extract tree attributes, namely diameter-at-breast-height (dbh) and tree height (h) for all the trees, and height of the crown base (hc) and crown ratio (cr) for Scots pine (*Pinus sylvestris* L.) trees.

Later on, we found that, due to the slightly different scan setups used in the TLS-campaigns, the overall quality of the point clouds was somewhat poorer in T1 because the auxiliary scans in T1 were located closer to the plot center than in T2 (see Figure 1). Therefore the sample plots were reduced in size, from the rectangular 32 m × 32 m plots (1024.0 m²) to circular sample plots with an 11-m radius (380.1 m²), to achieve more comparable point clouds between time points T1 and T2 (i.e., to ensure that most of the trees were scanned from multiple directions). Thus, the total number of field-measured trees was 1280, of which 270 (21.1%) were Scots pine trees, 649 (50.7%) were Norway spruces (*Picea abies* (L.) H. Karst.), and 361 (28.2%) were broadleaved trees, mainly birches (*Betula* sp.) and European aspen (*Populus tremula* L.). The main tree species was defined for the sample plots based on proportional field-measured G at time point T2 by tree species. A sample plot was classified as a single species-dominated sample plot if any of the tree species accounted for more than 67% of the total basal area. Respectively, a sample plot was classified as a mixed-species sample plot if G of two or more tree species each accounted for more than 30% of the total basal area. This classification resulted in three groups of sample plots as 9 sample plots were classified as Scots pine-dominated, 13 sample plots were classified as Norway spruce-dominated, while 15 sample plots were classified as mixed-species sample plots. The sample plots covered a wide range of forest structural variation (see Table 1).

Table 1. Variation in tree attributes by tree species and forest structural attributes by main tree species of a sample plot, measured in 2014 (T1) and 2019 (T2). Diameter at breast height (dbh), basal area (g), tree height (h), diameter-height-ratio (d-h-ratio), height of the crown base (hc), and crown ratio (cr) were defined at tree level whereas basal area-weighted mean diameter (D_g) and -height (H_g), mean basal area (G), and number of trees per hectare (TPH) were defined at plot level. Scots pine-dominated plots = basal area of Scots pine (*Pinus sylvestris* L.) accounts for more than 67% of the total basal area of a plot. Norway spruce-dominated plots = basal area of Norway spruce (*Picea abies* (L.) H. Karst.) trees account for more than 67% of the total basal area of a plot. Mixed-species plots = basal area of two or more tree species each account for more than 30% of the total basal area of a plot.

Tree/Forest Structural Attribute		Tree Species	Minimum (T1/T2)	Mean (T1/T2)	Maximum (T1/T2)	Standard Deviation (T1/T2)
Tree Attributes	dbh (cm)	All trees	5.0/5.1	16.9/17.9	59.9/64.0	10.2/10.5
		Scots pine	5.2/5.1	20.3/21.3	59.7/60.1	10.3/10.6
		Norway spruce	5.0/5.1	16.9/18.0	57.9/61.7	11.0/11.2
		Broadleaved	5.1/5.1	14.9/15.7	59.9/64.0	8.1/8.7
	g (cm²)	All trees	20.0/20.0	308.0/339.9	2818.0/3217.0	399.1/425.0
		Scots pine	21.2/20.8	407.7/445.5	2799.2/2836.9	468.2/487.1
		Norway spruce	20.0/20.4	318.4/351.7	2633.0/2989.9	416.6/439.0
		Broadleaved	20.0/20.0	225.7/251.8	2818.0/3217.0	291.4/331.7
	h (m)	All trees	2.2/1.9	16.3/17.4	36.6/38.4	7.3/7.5
		Scots pine	5.0/5.0	17.8/19.2	34.5/37.2	5.5/5.8
		Norway spruce	2.2/3.5	15.2/16.4	36.6/38.4	8.4/8.5
		Broadleaved	2.2/1.9	17.0/17.7	32.5/35.8	6.0/6.6
	d-h-ratio	All trees	0.42/0.41	1.03/1.02	4.70/5.00	0.31/0.33
		Scots pine	0.57/0.53	1.10/1.08	2.24/2.45	0.29/0.29
		Norway spruce	0.73/0.65	1.09/1.08	2.86/2.15	0.21/0.19
		Broadleaved	0.42/0.41	0.88/0.91	4.70/5.00	0.38/0.47
	hc (m)	Scots pine	4.2/6.3	12.2/13.9	23.1/24.6	3.4/3.4
	cr	Scots pine	0.22/0.19	0.40/0.37	0.69/0.59	0.09/0.08

Table 1. Cont.

Tree/Forest Structural Attribute	Tree Species	Minimum (T1/T2)	Mean (T1/T2)	Maximum (T1/T2)	Standard Deviation (T1/T2)
Forest Structural Attributes	<i>All plots</i>	13.0/14.3	27.5/28.8	42.8/44.0	9.3/9.3
	<i>Scots pine-dominated</i>	14.2/15.1	21.7/22.9	30.6/31.7	4.5/4.5
	<i>Norway spruce-dominated</i>	19.7/21.2	34.8/36.1	42.8/44.0	6.9/6.9
	<i>Mixed-species</i>	13.0/14.3	24.8/26.2	42.8/43.2	9.7/9.7
	<i>All plots</i>	12.4/13.7	22.3/23.6	31.6/32.4	4.9/4.9
	<i>Scots pine-dominated</i>	12.4/13.7	18.4/20.0	23.1/25.3	3.2/3.4
	<i>Norway spruce-dominated</i>	18.4/20.3	26.9/28.2	31.6/32.4	3.6/3.7
	<i>Mixed-species</i>	15.9/17.3	20.8/21.9	27.3/27.8	3.6/3.5
	<i>All plots</i>	15.3/17.2	31.6/34.5	51.5/56.8	10.5/11.0
	<i>Scots pine-dominated</i>	15.3/17.2	22.6/25.5	31.1/34.4	5.9/6.8
	<i>Norway spruce-dominated</i>	21.3/23.7	37.6/39.9	51.0/53.8	8.7/8.9
	<i>Mixed-species</i>	16.2/17.5	32.4/35.9	51.5/56.8	10.6/11.7
Forest Structural Attributes	<i>All plots</i>	368/368	1059/1045	3341/3236	706/711
	<i>Scots pine-dominated</i>	368/368	963/997	1894/2105	495/553
	<i>Norway spruce-dominated</i>	395/368	635/605	1289/1289	289/301
	<i>Mixed-species</i>	526/552	1522/1488	3341/3236	847/835

2.2. Deriving Tree and Forest Structural Attributes from TLS Point Clouds

A point cloud processing method presented in [39] was used in this study to measure tree attributes and to estimate forest structural attributes from the multi-scan TLS point clouds for each sample plot and both T1 and T2 (see Figure 1). First, a raster-based canopy segmentation was carried out to partition the normalized point clouds into smaller units using a canopy height model (CHM) at a 20 cm resolution. Variable Window Filter approach [40] was used to identify the local maxima in the CHM, and Marker-Controlled Watershed Segmentation [41] was applied to delineate canopy segments. The point cloud was then split according to the extracted crown segments using point-in-polygon approach. The crown-segmented point cloud was further classified into stem and non-stem points based on a general assumption that stem points had more planar, vertical, and cylindrical characteristics than points representing branches and foliage [10,15]. These characteristics were distinguished by applying surface normal filtering, point cloud clustering, and Random Sample Consensus (RANSAC)-cylinder filtering on horizontal point cloud slices. A more detailed description of the point cloud classification procedure can be found in [39].

Tree attributes, namely dbh, g, h, d-h-ratio, hc, and cr were extracted from the classified point cloud (see Figure 1, Table 2). Dbh and h were measured following the procedure originally presented in [10]. In other words, h was determined as the vertical distance between the highest and lowest points for each tree. Stem taper curve was estimated to determine dbh by measuring diameters through circle fitting at 20-cm vertical intervals to the stem points. The outliers in diameter-height-observations were filtered out by comparing the measured diameters to the mean of three previous (or three closest at the bottom of the stem) diameters. Then a cubic spline curve was fitted to the diameter-height-observations to level unevenness in diameter measurements and to interpolate the missing diameters as suggested in [42]. Dbh was then obtained as the diameter at 1.3 m height from the taper curve. Tree-level g was computed from dbh measurements by considering the stem cross section as a circle ($g = \pi * dbh^2/4$) and d-h-ratio was computed as a ratio between dbh and h ($d-h-ratio = dbh/h$). Hc was determined by searching for a height threshold for each tree where an increase in crown horizontal dimensions was recorded. This was done by first binning the non-stem points into horizontal slices with a height of 20 cm, then computing a convex hull around the bin points projected to XY-plane and hc was determined at the height where the convex hull area exceeded a 1.5 m² threshold and the perimeter-to-area ratio for the convex hull was smaller than 2 (Figure 1c). The threshold values of these parameters were chosen by pre-investigating the characteristics of the crown features with respect to the field-measured hc. Cr was computed as the proportion of the height of a living crown from the tree height ($cr = (h - hc)/h$). Finally, the forest structural attributes (i.e., D_g, H_g, G, and TPH) were computed by aggregating the tree-level attributes at the plot level (see Table 2). D_g and H_g were

computed as a basal area-weighted mean from the TLS-derived dbh and h measurements whereas G was a sum of g and TPH was the total number of trees within a sample plot per unit area.

Table 2. Description of the attributes used in this study to characterize trees and forest structure in 2014 (T1) and 2019 (T2). A = sample plot area in hectares, n = number of trees within a sample plot.

Tree/Forest Structural Attribute	Abbreviation	Description/Formula
Tree Attributes	diameter-at-breast-height (cm)	dbh
	tree diameter measured at a 1.3-m height	
	basal area (cm ²)	g
	$\pi * dbh^2/4$	
	tree height (m)	h
	vertical distance between ground and treetop	
Forest Structural Attributes	diameter-height ratio	d-h-ratio
	dbh/h	
	height of the crown base (m)	hc
	height of the lowest living branches	
	crown ratio	cr
	$(h - hc)/h$	
Forest Structural Attributes	basal area-weighted mean diameter (cm)	D_g
	$\sum_{i=1}^n dbh_i * g_i / \sum_{i=1}^n g_i$	
	basal area-weighted mean height (m)	H_g
	$\sum_{i=1}^n h_i * g_i / \sum_{i=1}^n g_i$	
Forest Structural Attributes	mean basal area (m ² /ha)	G
	$\sum_{i=1}^n g_i / A$	
Forest Structural Attributes	number of trees per hectare (n/ha)	TPH
	n/A	

2.3. Quantifying Changes in Tree and Forest Structural Attributes Using Bi-Temporal TLS Data

Changes in tree and forest structural attributes were quantified by subtracting the TLS-derived T1 attributes from the respective T2 attributes. At tree level, we analyzed changes in dbh (Δdbh), g (Δg), h (Δh), d-h-ratio ($\Delta d-h-ratio$), hc (Δhc) and cr (Δcr). At plot level, we analyzed changes in TPH (ΔTPH), G (ΔG), D_g (ΔD_g), and H_g (ΔH_g).

2.4. Assessing The Performance of The TLS-Based Method in Quantifying Changes in Forest Structure

Performance of the TLS-based method to quantify changes in tree attributes and forest structure was assessed by comparing the TLS-derived tree and forest structural attributes with the field-measured counterparts. For each TLS-derived tree, a corresponding field-measured tree was searched based on its spatial location. Capability of the TLS-based method to detect trees from the point clouds was then assessed by using completeness as an accuracy measure, indicating how large a part of the trees was detected from the point clouds. Accuracy of the TLS point cloud-derived estimates for tree and forest structural attributes at time points T1 and T2 as well as their difference (Δ) was assessed by using bias (mean error) and root-mean-square-error (RMSE) as accuracy measures:

$$\text{bias} = \frac{\sum_{i=1}^n (\hat{X}_i - X_i)}{n} \quad (1)$$

$$\text{RMSE} = \sqrt{\frac{\sum_{i=1}^n (\hat{X}_i - X_i)^2}{n}} \quad (2)$$

where n is the number of trees or sample plots, \hat{X}_i is the TLS-derived tree attribute or forest structural attribute for tree or plot i , and X_i is the corresponding attribute based on field measurements. Relative RMSE (RMSE%) and bias (bias%) were computed by dividing the absolute RMSE and bias with average value of the respective field-measured attribute. For tree attributes, the accuracy was assessed by tree species (Scots pine, Norway spruce, and broadleaved trees) while for forest structural attributes the accuracy was assessed by main tree species of a sample plot (Scots pine-dominated, Norway spruce-dominated, and mixed-species sample plots). Coefficient of determination (R^2) was used to measure the relationship between the TLS-derived and field-measured tree and forest structural attributes at time points T1 and T2 as well as their change. Paired-sample t-tests were used to test

whether the TLS-based estimates for tree and forest structural attributes at time point T1 significantly differed from the respective estimates at time point T2.

3. Results

3.1. Performance of Detecting Trees Using Bi-Temporal TLS Data

Out of the total number of 1280 trees that were measured in the field, 795 trees (62.1%) were detected from the point clouds at both time points T1 and T2. The detected trees represented 84.5% of the total basal area of all trees. Tree detection accuracy was highest among Scots pine trees, followed by Norway spruce and broadleaved trees (Table 3). Trees that remained undetected were mainly small in size, while large trees were detected at both time points with high accuracy (Figure 2).

Table 3. The number of trees by tree species that were measured in the field (N_{ref}) and detected from the TLS point clouds (N_{TLS}) at the beginning (2014) and at the end of the monitoring period (2019). Completeness indicates how large a part of the detected trees represented the total number and the total basal area of the field measured trees.

Tree Species	N_{ref}	N_{TLS}	Completeness: Total Stem Number	Completeness: Total Basal Area
All trees	1280	795	62.1%	84.5%
Scots pine	270	227	84.1%	91.3%
Norway spruce	649	366	56.4%	85.7%
Broadleaved	361	202	56.0%	73.3%

3.2. Performance of Characterizing Trees in Space Using TLS

Strong relationships ($R^2 = 0.99$) between the field-measured and TLS-derived estimates for dbh and g were recorded at both time points (Figure 3a,b,d,e). Dbh was estimated with an RMSE of 1.18 cm (5.7%) and 0.90 cm (4.1%) at T1 and T2, respectively, with no significant ($p > 0.05$) differences in accuracy between the tree species (Table 4). However, g was estimated more accurately for Norway spruce (RMSE% 6.2–10.6%) than for Scots pine (RMSE% 12.5–13.0%) and broadleaved trees (RMSE% 14.5–16.0%). On average, dbh of Norway spruce were overestimated by 0.26–0.30 cm, while dbh of Scots pine and broadleaved trees were underestimated by 0.43–0.32 cm and 0.03–0.19 cm, respectively. Considering all the trees, slightly lower RMSEs and biases of dbh and g were obtained at T2 than at T1.

Table 4. Bias and root-mean-square-error (RMSE) of TLS-derived estimates for tree attributes, namely diameter-at-breast-height (dbh), basal area (g), tree height (h), diameter-height-ratio (d-h-ratio), height of the crown base (hc) and crown ratio (cr) by tree species at time points T1 (2014) and T2 (2019) as well as their change (Δ). Negative bias denotes underestimation.

Tree Attribute	Tree Species	Bias			RMSE		
		T1	T2	Δ	T1	T2	Δ
dbh (cm)	All trees	−0.05 (−0.3%)	0.04 (0.2%)	0.10 (8.3%)	1.18 (5.7%)	0.90 (4.1%)	1.13 (97.4%)
	Scots pine	−0.43 (−2.0%)	−0.32 (−1.4%)	0.12 (10.1%)	1.11 (5.2%)	0.99 (4.5%)	0.99 (83.9%)
	Norway spruce	0.26 (1.2%)	0.30 (1.3%)	0.04 (3.8%)	1.26 (5.7%)	0.77 (3.3%)	1.22 (103.5%)
	Broadleaved	−0.19 (−1.1%)	−0.03 (−0.15%)	0.17 (15.0%)	1.11 (6.3%)	0.99 (5.27%)	1.12 (100.4%)
g (cm ²)	All trees	−7.65 (−1.9%)	−3.39 (−0.7%)	4.26 (10.2%)	49.28 (11.9%)	47.59 (10.4%)	49.40 (118.4%)
	Scots pine	−21.47 (−5.1%)	−18.48 (−3.9%)	2.99 (7.0%)	52.82 (12.5%)	60.81 (13.0%)	44.14 (102.8%)
	Norway spruce	1.75 (0.4%)	7.38 (1.4%)	5.64 (12.9%)	50.51 (10.6%)	32.35 (6.2%)	55.49 (126.6%)
	Broadleaved	−9.16 (−3.1%)	−5.96 (−1.8%)	3.21 (8.7%)	42.42 (14.5%)	53.51 (16.3%)	42.98 (117.1%)
h (m)	All trees	−1.33 (−6.9%)	−0.74 (−3.6%)	0.59 (42.1%)	4.37 (22.5%)	4.10 (19.7%)	3.53 (251.6%)
	Scots pine	−0.89 (−4.8%)	−0.47 (−2.3%)	0.43 (27.3%)	2.52 (13.7%)	2.42 (12.1%)	1.91 (122.8%)
	Norway spruce	−0.51 (−2.6%)	0.39 (1.8%)	0.90 (72.3%)	3.99 (20.1%)	3.03 (14.4%)	3.43 (274.6%)
	Broadleaved	−3.31 (−16.8%)	−3.11 (−14.6%)	0.21 (13.8%)	6.26 (31.7%)	6.56 (30.8%)	4.85 (321.5%)
d-h-ratio	All trees	0.10 (9.7%)	0.07 (6.3%)	−0.04 (226.6%)	0.35 (33.6%)	0.30 (29.5%)	0.33 (2028.6%)
	Scots pine	0.05 (4.6%)	0.03 (2.6%)	−0.02 (78.3%)	0.28 (24.9%)	0.24 (21.6%)	0.28 (942.6%)
	Norway spruce	0.06 (5.3%)	−0.01 (0.1%)	−0.06 (563.8%)	0.26 (23.6%)	0.16 (14.8%)	0.22 (2123.3%)
	Broadleaved	0.24 (26.9%)	0.23 (26.2%)	−0.01 (88.6%)	0.53 (59.8%)	0.51 (57.7%)	0.49 (4516.2%)
hc (m)	Scots pine	−1.70 (−16.1%)	−1.36 (−10.9%)	0.34 (20.7%)	2.71 (25.7%)	2.55 (20.4%)	1.97 (120.6%)
cr	Scots pine	0.05 (11.7%)	0.04 (10.3%)	−0.01 (36.2%)	0.10 (22.8%)	0.09 (22.5%)	0.09 (318.1%)

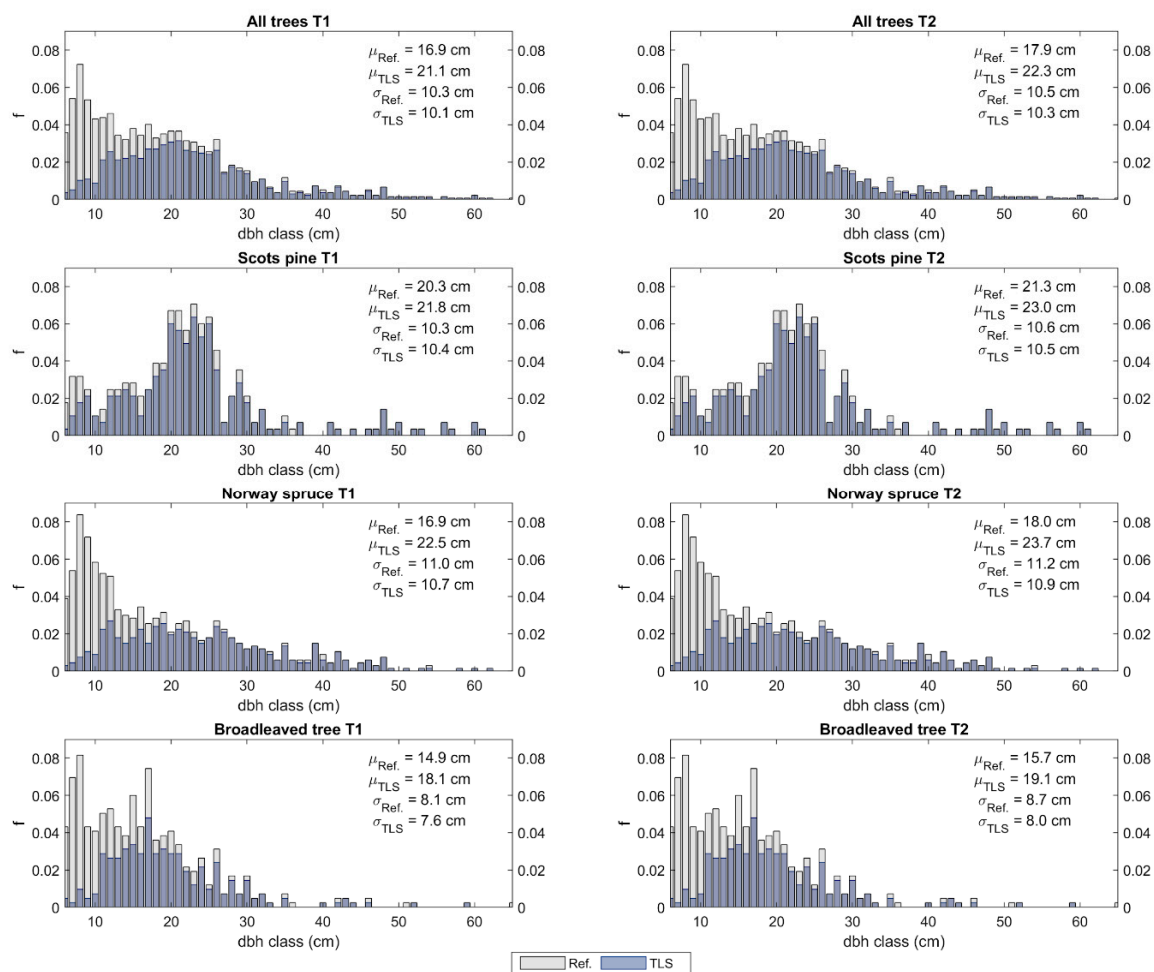


Figure 2. Diameter at breast height (dbh) distributions presenting the relative frequency (f) of trees in 1 cm dbh classes by tree species. Mean values (μ) and standard deviations (σ) based on field (Ref) and terrestrial laser scanning (TLS) measurements are presented as well. The colored bars represent the proportion of trees by dbh classes that were detected from the TLS point clouds at both time points 2014 (T1) and 2019 (T2).

Relationship between the field-measured and TLS-derived estimates for h was stronger for Scots pine and Norway spruce ($R^2 = 0.84$ – 0.92) than for broadleaved trees ($R^2 = 0.31$ – 0.39 ; see Figure 3g,h). In general, TLS-derived h was underestimated, and the accuracy of h estimates were improved from T1 to T2 (Table 4). For Scots pine trees, RMSEs of 2.52 m (13.7%) and 2.42 m (12.1%) were recorded at time points T1 and T2, respectively. The estimation accuracy for Norway spruce was slightly lower being 3.99 m (20.1%) for T1 and 3.03 m (14.4%) for T2. For broadleaved trees, the estimation accuracy decreased even more, being 6.26 m (31.7%) at T1 and 6.56 m (30.8%) at T2. Additionally, differences in the accuracy of h estimates led to differences in the accuracy of d - h -ratio estimates between the tree species. R^2 of 0.76–0.79 was recorded between the field-measured and TLS-derived d - h -ratio for Scots pine, while the respective values for Norway spruce and broadleaved trees were 0.58–0.73 and 0.43–0.51, respectively (Figure 3j,k).

H_c and c_r were estimated for Scots pine trees only. R^2 between the field-measured and TLS-derived estimates for h_c and c_r were 0.78–0.79 and 0.52–0.58, respectively (Figure 3p,q). For h_c estimates an RMSE of 2.71 m (25.7%) for T1 and 2.55 m (20.4%) for T2 with an underestimate of 1.36–1.70 m (Table 4). On average, c_r was overestimated by 10.3–11.7% with a relative RMSE of 22.5–22.8% (Table 4).

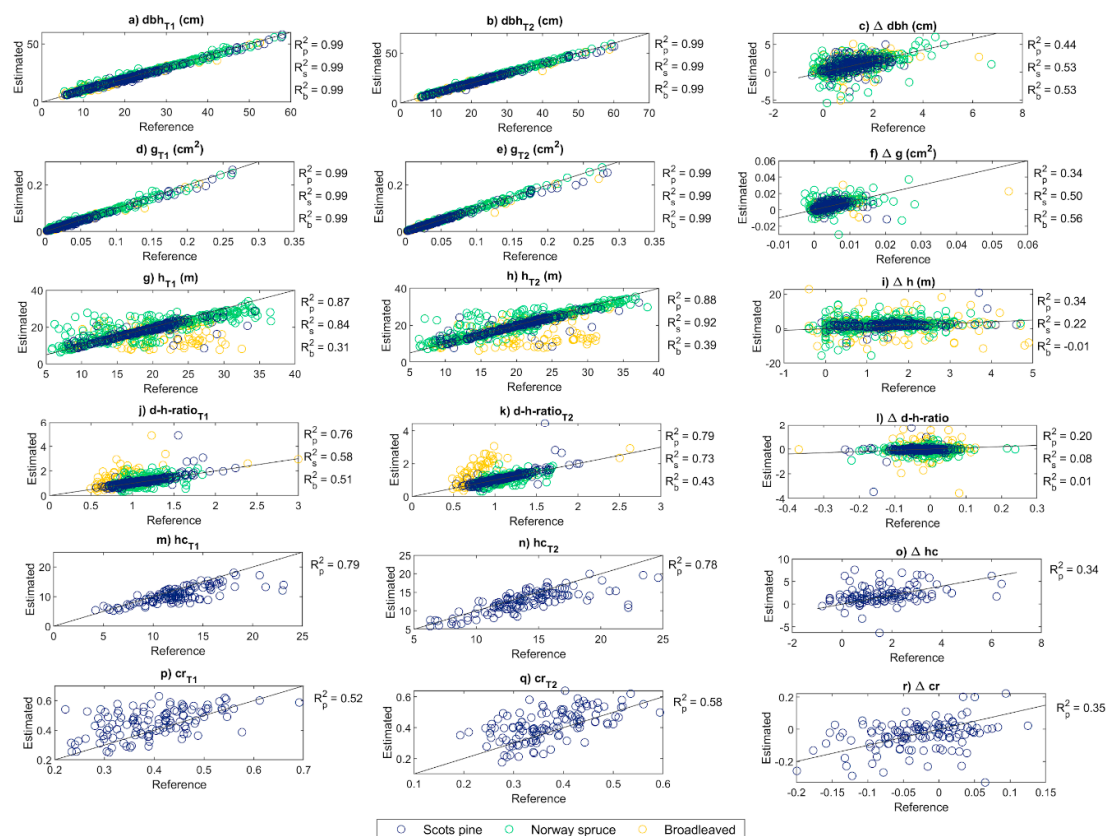


Figure 3. Relationship between the field-measured reference measurements and the TLS-derived estimates on tree attributes, such as diameter-at-breast-height (dbh), basal area (g), tree height (h), diameter-height-ratio (d-h-ratio), and crown ratio (cr) by tree species measured in 2014 (T1) and 2019 (T2) as well as their difference (Δ dbh, Δ g, Δ h, Δ d-h-ratio, and Δ cr). The solid black line represents the 1:1 relationship between the reference and the estimated values. R^2_p , R^2_s , and R^2_b denote coefficient of determination for Scots pine, Norway spruce, and broadleaved trees, respectively.

3.3. Performance of Characterizing Tree Attributes in Time Using TLS

It was possible to quantify changes in tree attributes using TLS. Paired sample t-tests showed that the tree attributes estimated at T1 significantly ($p < 0.01$) differed from the respective attributes estimated at T2 (Table 5). Relationship between the changes in field-measured and TLS-derived tree attributes was stronger for attributes characterizing changes in horizontal tree structure (i.e., Δ dbh and Δ g) than attributes characterizing changes in vertical tree structure (i.e., Δ h, Δ hc, and Δ cr; Figure 3). In general, the changes in tree attributes were estimated with smaller RMSE for Scots pine than for Norway spruce and broadleaved trees (Table 4). RMSE in Δ dbh estimates was 0.99–1.22 cm (83.9–103.5%), and most often Δ dbh was overestimated by 0.04–0.17 cm (3.8–15.0%) depending on tree species. Differences in the accuracy of Δ g estimates between the tree species were small and not considered statistically significant ($p > 0.05$). On average, Δ h was overestimated by 0.21–0.90 m (13.8–72.3%) with an RMSE of 1.91–4.85 m (122.8–321.5%). In this case, the highest accuracy was recorded for Scots pine, followed by Norway spruce and broadleaved trees. The accuracy of Δ d-h-ratio was considerably lower than the accuracy of other tree attributes. Relative errors in Δ d-h-ratio were large (RMSE 942.6–4516.2%) due to uncertainty in tree height estimates.

Attributes characterizing changes in crown structure were estimated for Scots pine trees only. R^2 of 34% and 35% were recorded between the field-measured and TLS-derived estimates for Δ hc and Δ cr, respectively (Figure 3o,r). On average, Δ hc was overestimated by 0.34 m (20.7%) with an RMSE of 1.97 m (120.6%) which is at the same level to the accuracy of Δ h estimates for Scots pine trees (Table 4). Relative errors in Δ cr estimates were larger (RMSE% 318.1%) due to uncertainty in h and hc estimates.

Table 5. The p-values from the paired-sample t-tests indicating the significance of the differences between the TLS-derived estimates of tree attributes, such as diameter-at-breast-height (dbh), basal area (g), tree height (h), diameter-height-ratio (d-h-ratio), and crown ratio (cr) by tree species measured in 2014 (T1) and 2019 (T2).

Tree Species	dbh	g	h	d-h-Ratio	hc	cr
All trees	<0.000	<0.000	<0.000	<0.000	<0.000	<0.000
Scots pine	<0.000	<0.000	<0.000	<0.000	<0.000	<0.000
Norway spruce	<0.000	<0.000	<0.000	<0.000	-	-
Broadleaved tree	<0.000	<0.000	<0.000	0.003	-	-

3.4. Performance of Characterizing Forest Structural Attributes in Space with TLS

Forest structural attributes were estimated accurately with TLS point clouds from both time points. Strong relationships ($R^2 > 0.86$) between the field-measured and the TLS-derived estimates for D_g , H_g , G , and TPH were recorded for Norway spruce-dominated sample plots (Figure 4). On Scots pine-dominated sample plots, the R^2 indicated a stronger relationship between the field-measured and the point cloud-derived estimates for D_g and H_g ($R^2 > 0.96$) than for TPH ($R^2 = 0.46–0.66$) and G ($R^2 = 0.21–0.34$). On mixed-species sample plots, an R^2 of 0.93–0.95 was recorded for D_g , 0.50–0.64 for H_g , 0.63–0.72 for G , and 0.44–0.63 for TPH. Considering all the sample plots, the estimation accuracy for D_g and G was at the same level for T1 and T2 while H_g and TPH estimates seemed to be slightly more accurate at T2 (RMSE% 7.9% for H_g and 49.3% for TPH) than at T1 (RMSE% 11.2% for H_g and 58.5% for TPH; Table 6).

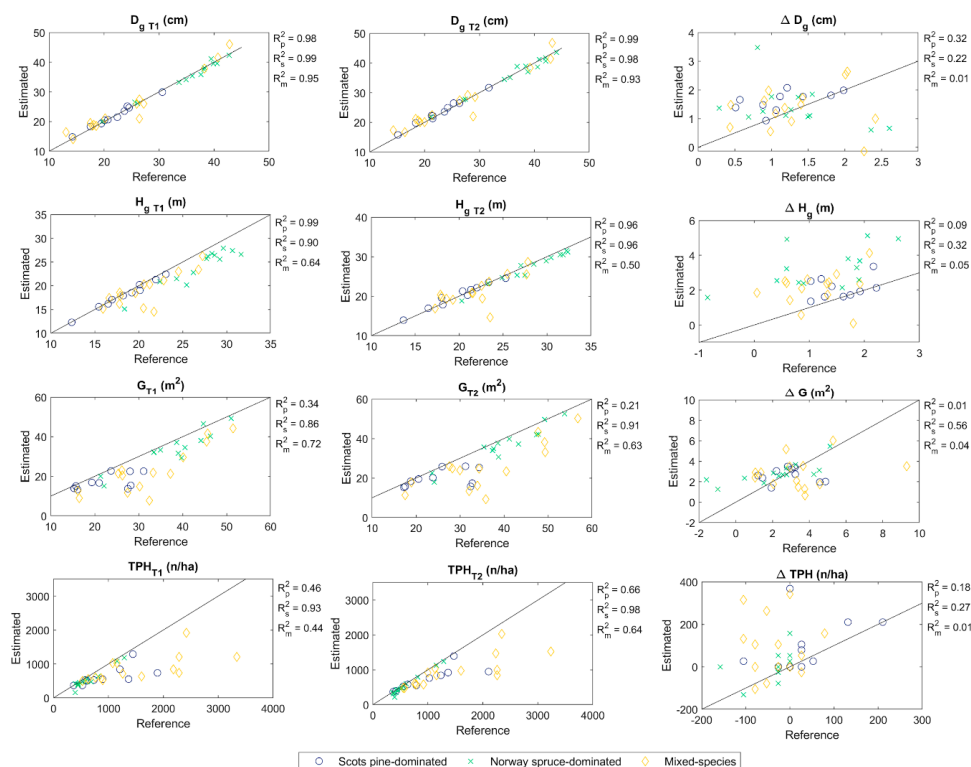


Figure 4. Relationship between the field-measured reference measurements and the TLS-derived estimates on forest structural attributes, such as basal area-weighted mean diameter (D_g) and -height (H_g), mean basal area (G), and number of trees per hectare (TPH) by main tree species in 2014 (T1) and 2019 (T2) as well as their difference (ΔD_g , ΔH_g , ΔG , and ΔTPH). The solid black line represents the 1:1 relationship between the reference and the estimated values. R^2_p , R^2_s , and R^2_m denote coefficient of determination for Scots pine-dominated, Norway spruce-dominated, and mixed-species forest plots, respectively.

Table 6. Bias and root-mean-square-error (RMSE) of TLS-derived estimates for forest structural attributes, namely basal area-weighted mean diameter (D_g) and -height (H_g), mean basal area (G) and number of trees per hectare (TPH) in 2014 (T1) and 2019 (T2) as well as their change (Δ). Negative bias denotes underestimation.

Forest Structural Attribute	Main Tree Species	Bias			RMSE		
		T1	T2	Δ	T1	T2	Δ
D_g (cm)	All plots	0.08 (0.3%)	0.25 (0.9%)	0.17 (13.2%)	1.42 (5.2%)	1.72 (6.0%)	0.93 (72.6%)
	Scots pine-dominated	0.08 (0.4%)	0.55 (2.4%)	0.47 (40.5%)	0.59 (2.7%)	0.77 (3.4%)	0.60 (52.5%)
	Norway spruce-dominated	−0.26 (−0.7%)	−0.15 (−0.4%)	0.10 (7.8%)	0.87 (2.5%)	1.06 (2.9%)	1.13 (86.4%)
	Mixed-species	0.39 (1.6%)	0.41 (1.6%)	0.02 (1.4%)	2.09 (8.4%)	2.51 (9.6%)	0.91 (67.9%)
H_g (m)	All plots	−1.73 (−7.8%)	−0.50 (−2.1%)	1.24 (96.3%)	2.51 (11.2%)	1.85 (7.9%)	1.64 (127.8%)
	Scots pine-dominated	−0.35 (−1.9%)	0.20 (1.0%)	0.55 (35.3%)	0.53 (2.9%)	0.70 (3.5%)	0.81 (51.9%)
	Norway spruce-dominated	−2.88 (−10.7%)	−0.83 (−2.9%)	2.05 (165.0%)	3.12 (11.6%)	1.09 (3.9%)	2.26 (182.2%)
	Mixed-species	−1.67 (−8.0%)	−0.69 (−3.1%)	0.98 (86.6%)	2.72 (13.1%)	2.76 (12.6%)	1.39 (122.9%)
G (m ² /ha)	All plots	−6.49 (−20.5%)	−6.60 (19.1%)	−0.11 (−3.7%)	8.52 (26.9%)	9.27 (26.9%)	1.84 (64.2%)
	Scots pine-dominated	−5.32 (−23.6%)	−5.56 (−21.8%)	−0.23 (−8.1%)	7.03 (31.1%)	8.05 (31.6%)	1.40 (48.9%)
	Norway spruce-dominated	−3.84 (−10.2%)	−3.24 (−8.1%)	0.59 (26.4%)	5.09 (13.5%)	4.23 (10.6%)	1.48 (66.4%)
	Mixed-species	−9.79 (−30.2%)	−10.46 (−29.2%)	−0.67 (−19.3%)	11.50 (35.5%)	12.82 (35.7%)	2.34 (68.0%)
TPH (n/ha)	All plots	−373 (−35.2%)	−292 (−27.9%)	−81 (−570%)	620 (58.5%)	515 (49.3%)	143 (1008.7%)
	Scots pine-dominated	−337 (−35.0%)	−268 (−26.9%)	−68 (−200.0%)	486 (50.5%)	428 (42.9%)	130 (381.5%)
	Norway spruce-dominated	−91 (−14.3%)	−47 (−7.7%)	−45 (−146.7%)	117 (18.5%)	65 (10.7%)	74 (245.1%)
	Mixed-species	−659 (−43.3%)	−536 (−36.0)	−124 (−366.7%)	914 (60.0%)	753 (50.6%)	193 (569.3%)

D_g was underestimated by 0.15–0.26 cm (0.4–0.7%) on Norway spruce-dominated sample plots while overestimated by 0.08–0.55 cm (0.4–2.4%) on Scots pine-dominated, and by 0.39–0.41 cm (1.6%) on mixed-species sample plots (Table 6). More accurate estimates for D_g were recorded on Norway spruce-dominated and Scots pine-dominated sample plots (RMSE% 2.5–3.4%) than on mixed-species sample plots (RMSE% 8.4–9.6%). H_g was underestimated on all the sample plots by 0.35–2.88 m (1.9–10.7%) except Scots pine-dominated plots at T2 when it was overestimated by 0.20 m (1.0%). Accuracy of H_g estimates was highest on Scots pine-dominated sample plots (relative RMSE 2.9–3.5%) followed by Norway spruce-dominated (RMSE% 3.9–11.6%) and mixed-species sample plots (RMSE% 12.6–13.1%).

G and TPH were underestimated on all the sample plots (Table 6). On Norway spruce-dominated sample plots the underestimation in G estimates was the lowest being 3.24–3.84 m²/ha (8.1–10.2%), followed by Scots pine-dominated and mixed-species sample plots with 5.32–5.56 m²/ha (21.8–23.6%) and 9.79–10.46 m²/ha (29.2–30.2%) of underestimation, respectively. More accurate estimates for G were recorded on Norway spruce-dominated sample plots (RMSE% 10.6–13.5%) followed by Scots pine-dominated sample plots (relative RMSE 31.1–31.6%) and mixed-species sample plots (RMSE% 35.5–35.7%). A similar trend was also visible in the accuracy of TPH estimates. On Norway spruce-dominated sample plots the underestimation of TPH was considerably lower (7.7–14.3%) than on Scots pine-dominated sample plots (26.9–35.0%) and mixed-species sample plots (36.0–43.3%). RMSE in TPH estimates was 65–117 n/ha (10.7–18.5%) on Norway spruce-dominated sample plots, 428–486 n/ha (42.9–50.5%) on Scots pine-dominated sample plots, and 753–914 n/ha (50.6–60.0%) on mixed-species sample plots (Table 6).

3.5. Performance of Characterizing Forest Structural Attributes in Time Using TLS

Changes in forest structural attributes were quantified using TLS. Paired sample t-tests showed that D_g , H_g , and G estimated at T1 significantly ($p < 0.000$) differed from the respective attributes estimated at T2 (Table 7). In the case of TPH, the differences between T1 and T2 estimates were not considered significant ($p > 0.05$). Based on field measurements, TPH_{T1} was significantly larger than TPH_{T2} on Norway spruce-dominated and mixed-species sample plots due to fallen or harvested trees. On these sample plots, some of the trees that were fallen or harvested were not detected at T1, and thus, change in TPH was not captured. On Scots pine-dominated sample plots, however, the change in TPH was not considered significant and thus, not expected to be captured with TLS. In general, accuracy of characterizing changes in forest structural attributes was at a higher level on Scots pine-dominated sample plots than on Norway spruce-dominated and mixed-species sample plots (Table 6).

Table 7. The p-values from the paired-sample t-tests indicating the significance of the differences between the TLS-derived estimates on forest structural attributes, such as basal area-weighted mean diameter (D_g) and - height (H_g), mean basal area (G) and trees per hectare (TPH) by main tree species measured in 2014 (T1) and 2019 (T2).

Main Tree species	D_g	H_g	G	TPH
All plots	<0.000	<0.000	<0.000	0.105
Scots pine-dominated	<0.000	<0.000	<0.000	0.014
Norway spruce-dominated	<0.000	<0.000	<0.000	0.775
Mixed-species	<0.000	<0.000	<0.000	0.984

The relationship between the field-measured and TLS-derived estimates for ΔD_g was similar on Scots pine-dominated and Norway spruce-dominated sample plots (R^2 of 0.32 and 0.22, respectively) while being considerably lower on mixed-species sample plots ($R^2 = 0.01$, Figure 4). On average, ΔD_g was overestimated by 0.02–0.47 cm (1.4–40.5%, Table 6). Most accurate estimates for ΔD_g were obtained on Scots pine-dominated sample plots (RMSE% 52.5%) followed by mixed-species sample plots (RMSE% 67.9%) and Norway spruce-dominated sample plots (RMSE% 86.4%).

In the case of ΔH_g , the relationship between the field-measured and the TLS-derived estimates was the strongest on Norway spruce-dominated sample plots ($R^2 = 0.32$) while being considerably lower on Scots pine-dominated and mixed-species sample plots ($R^2 = 0.05$ – 0.09). On average, ΔH_g was overestimated by 0.55 m (35.3%), 2.05 m (165.0%), and 0.98 m (86.6%) with an RMSE of 0.81 m (51.9%), 2.26 m (182.2%), and 1.39 m (122.9%) on Scots pine-dominated, Norway spruce-dominated, and mixed-species sample plots, respectively (Table 6).

R^2 indicated a considerably stronger relationship between the field-measured and the TLS-derived estimates for ΔG on Norway spruce-dominated sample plots ($R^2 = 0.56$) than on Scots pine-dominated and mixed-species sample plots ($R^2 = 0.01$ – 0.04). On Norway spruce-dominated sample plots, ΔG was overestimated by 0.59 m²/ha (26.4%) while on Scots pine-dominated and mixed-species sample plots ΔG was underestimated by 0.23 m²/ha (8.1%) and 0.67 m²/ha (19.3%), respectively (Table 6). The estimation accuracy was the highest on Scots pine-dominated sample plots (RMSE% 48.9%) followed by Norway spruce-dominated and mixed-species sample plots (RMSE% 66.4–68.0%).

Relationship between the field-measured and the TLS-derived estimates for ΔTPH was the strongest on Norway spruce-dominated sample plots ($R^2 = 0.27$) followed by Scots pine-dominated sample plots ($R^2 = 0.18$, Figure 4). On average, ΔTPH was underestimated on all the sample plots by 45–124 n/ha (146.7–366.7%). The highest accuracy of ΔTPH estimates was obtained on Norway spruce-dominated sample plots (RMSE% 245.1%) followed by Scots pine-dominated sample plots (RMSE% 381.5%) and mixed-species sample plots (RMSE% 569.3%).

4. Discussion

The objective of this study was to assess the feasibility of TLS in characterizing boreal forest structure in space and time. We used a bi-temporal TLS dataset covering a five-year growth period in between the data acquisition campaigns in 2014 (T1) and 2019 (T2) and analyzed the accuracy of the TLS-based method to quantify changes in tree and forest structural attributes. The results showed that changes in tree and forest structural attributes were captured using TLS. In general, tree and forest structural attributes estimated at T1 differed significantly ($p < 0.01$) from the respective estimates at T2 (Tables 5 and 7). Only in the case of TPH the differences in the TLS-derived estimates at T1 and T2 were not considered statistically significant due to incomplete tree detection. In general, changes in the tree attributes were estimated more accurately for Scots pine trees, followed by Norway spruce and broadleaved trees (Table 4). Similarly, the accuracy of characterizing changes in forest structural attributes was higher on Scots pine-dominated sample plots than on Norway spruce-dominated and mixed-species sample plots (Table 6).

The accuracy of using TLS in estimating tree and forest structural attributes at T1 and T2 was comparable to the previous findings on the performance of TLS in forest characterization. Based on previous studies, it is known that the performance of a TLS-based approach to characterize forest structure is affected by (1) the ability of the method to detect trees, and (2) the accuracy of the method to estimate tree attributes [10,39]. With our method, the g of trees that were detected at T1 and T2 accounted for 84.5% of the basal area of all trees (Table 3). Trees that remained undetected were mainly Norway spruces and broadleaved trees, and small in dbh (Figure 2). Similar performance in tree detection was reported in comparable forest conditions by Yrttimaa et al. [10]. Successful tree detection and characterization requires that a tree must be sufficiently represented by a point cloud. Occlusion decreases the capacity of a point cloud to properly digitize trees or forest stands [43]. Use of a multi-scan approach in TLS data acquisition decreases point cloud occlusion, thus improving the performance of a TLS-based method to characterize forest stands, especially the ones with a complex structure [22,44,45]. On a forest stand, occlusion affects the performance of a TLS-based method on both horizontal and vertical dimensions. Horizontal occlusion causes undetection of trees, as a part of trees remain shaded by other trees and undergrowth vegetation [10,43]. Differences in the tree detection accuracies between the different tree species can be explained by the different forest structures and shapes of dbh distributions. On mixed-species sample plots the vegetation density was higher than on

Scots pine-dominated and Norway spruce-dominated sample plots (Table 1), making the horizontal forest structure more complex and occluded. Small trees have less surface visible to the scanner than large trees and thus, are more likely to be completely occluded by other trees (Figure 2). Undetection of trees directly affects the accuracy of G and TPH estimates which are, by definition, computed by summing up individual tree attributes at the sample plot level (see Table 2). In this study, G and TPH were underestimated by 19.1–20.5% and 26.9–35.0%, respectively, with RMSEs of 26.9% and 42.9–50.5%. These results are comparable to the accuracy achieved in similar forest conditions by [10]. In general, attributes characterizing horizontal tree dimensions of forest structure were estimated with high accuracy. In this study, dbh of all trees was estimated with an RMSE of 0.90–1.18 cm (4.1–5.7%) which is similar to results obtained by earlier studies in boreal forest conditions [20,22]. For D_g , the estimation error (RMSE 1.42–1.60 cm/5.2–6.0%) was slightly smaller than in [10] where an RMSE of 3.1 cm (12.3%) was recorded in comparable forest conditions.

Vertical point cloud occlusion causes uncertainty in h estimates as dense canopy layers and overlapping crowns block the visibility from a scanner to treetops [8,46]. Thus, performance of TLS-based approaches to correctly estimate h has been identified as a major bottleneck hindering the use of TLS in practical forest inventory applications [47]. This was confirmed also in this study, as h was underestimated due to limited visibility to treetops. An RMSE of 4.10–4.37 m (19.7–22.5%) in h estimates was recorded for all the trees which is in line with previous findings in comparable forest conditions [22,47]. As expected based on results reported in [10], accuracy of characterizing forest height was improved at the sample plot level where an RMSE of 1.85–2.51 m (7.9–11.2%) was recorded for H_g estimates. The highest accuracy in characterizing vertical forest structure was achieved in Scots pine-dominated sample plots where the canopy structure was favoring the TLS-based method more than in Norway spruce-dominated or mixed-species sample plots. For Scots pine trees, h_c was successfully estimated by investigating the outer dimensions of a horizontally binned point cloud consisting of non-stem points (see Figure 1). Accuracy in h_c estimates was similar to h estimates of Scots pine trees, providing reliable estimates for cr (Table 4).

The absolute errors in quantifying changes in tree attributes were, in general, at the same level than the errors in characterizing the attributes at T1 and T2 (Table 4). However, the bi-temporal TLS data used in this study covered only five growing seasons, which is a very short time when considering the lifetime of Scots pine or Norway spruce trees normally reaching the age from 80 to 120 years in boreal forests. Thus, changes in tree and forest structural attributes were relatively small, which explains why the errors in quantifying changes in tree attributes were rather large relative to the field-measured changes. In this study, for example, RMSE in dbh estimates (0.90–1.18 cm) was similar to the recorded average increase in dbh (1.16 cm). Short monitoring periods have been still applied in the previous studies related to investigating changes in forest structure using TLS due to the novelty of the method. In this case, it needs to be noted that the effect of measurement errors plays an important role when the changes in the monitored attributes were small. This means that, for example, the recorded changes in dbh and h can be within the expected errors of both TLS-based and traditional measurement methods reported by [8,37]. As Luoma et al. [37] showed earlier, there is some variation also in the caliper and clinometer measurements when the measurements are repeated, which can depend on the different measurement positions (e.g., determining the breast height for dbh measurements) among other subjective factors. Dendrometers, such as a girth band for tree diameter measurement [48], provide probably the most accurate observations for monitoring changes in the dimensions of a living tree. However, dendrometers only measure one attribute at a time (e.g., dbh) and installing girth bands on a large number of trees to only monitor changes in dbh at forest stand level is rather expensive. Thus, data from clinometer and caliper measurements are typically used as a reference for tree attributes. But with their reliability being on a similar level to TLS-derived estimates, especially when measuring tree stem diameters, it needs to be remembered that actually either one of the measurements could be the ground truth, or the true value may be somewhere between the two observations. With longer monitoring periods, changes in tree attributes are expected to be larger and

thus, the effect of the measurement errors should decrease, which further improve the reliability of change monitoring. Despite the variation in accuracies, the results of this study allow us to expect that with longer time periods, also the relative accuracies in change monitoring will be improved when the changes in tree attributes to be captured also increases.

There are only a few previous studies where TLS has been used to capture changes in tree attributes. Liang et al. [6] reported that TLS-derived bi-temporal changes accounted for 92 percent of the changed basal area due to harvesting. Sheppard et al. [32] detected changes in the structure and form of wild cherry trees. Kaasalainen et al. [31] and Srinivasan et al. [30] investigated changes in biomass which were either studies in laboratory condition [31] or modeled [30], but not in forest conditions or directly. All the earlier studies only concentrated on a few attributes with a relatively small number of trees, hindering comparisons between this and previous studies. Luoma et al. [35] tested the feasibility of detecting tree growth with TLS on 35 sample trees with a time period of 9 years and made similar conclusions on the abilities of TLS in detecting changes in tree attributes. Whereas all the measurements in this study were TLS-based, Luoma et al. [35] still used field-measured h in their study. Based on our knowledge, this study is the first attempt to investigate changes in tree and forest structural attributes using TLS, which has been performed with such a large number of trees and in varying forest conditions.

The results of this study confirm the feasibility of TLS in characterizing forest structure in space and time. If an increase or decrease in tree and forest attributes was recorded in the field with conventional mensuration tools, a similar outcome was achieved by using bi-temporal TLS data. TPH was the only attribute that could not be characterized in time due to incomplete tree detection at both time points. Changes in TPH were minor, mostly because of a couple of fallen or harvested trees per sample plot during the monitoring period. Thus, it is evident that all trees should be characterized at the beginning and at the end of the monitoring period to reliably estimate Δ TPH. This requires better performance in tree detection which could be achieved with a more complete coverage of TLS data to avoid horizontal point cloud occlusion. However, increasing the number of individual scans used in a multi-scan approach decreases the cost-efficiency of TLS data acquisition. Use of mobile laser scanning (MLS) instead, where a point cloud is collected with a laser scanner mounted on an all-terrain vehicle [49,50] or a backpack [50,51], or with a hand-held laser scanner [52,53], would presumably be a more cost-efficient option to cover entire forest stands. When combined with SLAM (Simultaneous Localization and Mapping)-technology [54], the accuracy of MLS-derived tree attribute estimates is expected to be close to the TLS-derived estimates [51,53]. Another mobile platform for a laser scanner to suit close-range forest monitoring is an unmanned aerial vehicle (UAV) which can be used to collect detailed point clouds from above a forest canopy [55–57]. Due to different data acquisition geometries between terrestrial and UAV-borne point clouds, UAV-borne laser scanning is more suitable for characterization of the vertical forest structure whereas TLS or MLS can better capture the horizontal forest structure. An alternative option to combine the benefits of both terrestrial and aerial point cloud-based approaches is to collect the UAV-borne point clouds from inside the canopy [58] or to use a multisensorial approach [59] where photogrammetric UAV point clouds were integrated with TLS data. Use of a combination of bi-temporal terrestrial and aerial point clouds is expected to improve the accuracy of vertical forest characterization in space and time.

5. Conclusions

It is known that TLS is capable of characterizing forest structure in detail in space. Thus far, there has been a limited understanding of how forest structural changes can be quantified in time using TLS in boreal forest conditions. The results of this study confirm the capacity of TLS in providing information on the changes in tree and forest structural attributes. If an increase or decrease in tree and forest attributes was recorded in the field with calipers and clinometers, a similar outcome was achieved by using bi-temporal TLS data. However, incomplete digitization of trees and forest stands due to vertical and horizontal occlusion causes uncertainty in TLS-derived estimates in tree and forest

attributes and their changes. Vertical occlusion could be decreased by using a combination of terrestrial and aerial point cloud data. Horizontal occlusion could be decreased with more complete point clouds by applying the MLS technique to preserve cost-efficiency in data acquisition.

In this study, changes in tree and forest structural attributes were small due to a relatively short monitoring period. It is expected that with a longer monitoring time, the changes in tree attributes become more reliably detectable when automated point cloud-based approaches are used in boreal forest conditions.

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